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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

SEARCH/PATENT  
DIVISION

In re application of:

ARUN K. GUPTA

Application No. 09/631,318

Filed: August 3, 2000

For: OBJECT ORIENTED BASED METHODOLOGY  
FOR MODELING BUSINESS FUNCTIONALITY FOR  
ENABLING IMPLEMENTATION IN A WEB BASED  
ENVIRONMENT

Group Art Unit: 2124

Examiner: John Q. Chavis 2004 JUL -6 PM 4:20

Attorney Docket No. RATLP019

Date: July 1, 2004

**CERTIFICATE OF FACSIMILE**

I hereby certify that this correspondence is being transmitted by  
facsimile to the Patent and Trademark Office on July 1, 2004.

Signed: Diane Elzingre  
Diane Elzingre

Assistant Commissioner for Patents

Washington, DC 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

**REQUEST FOR REFUND**

**I. REFUND REQUEST**

This is a request for a refund with respect to a check (#3957) in the amount of \$750.00 filed with a Notice of Appeal for the above-identified patent. A copy of the check as submitted accompanies this request.

**II. FEES CHARGED FOR WHICH REFUND REQUESTED**

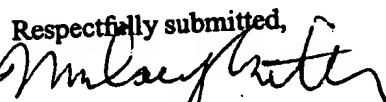
The Notice of Appeal and Extension of Time fees in the amount of \$750.00 for application number 09/631,318.

**III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

Applicant filed a Notice of Appeal and Request for Extension of Time on June 23, 2004 (copy of return postcard enclosed). Enclosed with the filing was a check in the amount of \$750.00, which included the fee in the amount of \$330.00 for the Notice of Appeal fee and the fee in the amount of \$420.00 for the Extension of Time fee. A copy of this check is enclosed. On June 22, 2004, the Patent Office mailed a Notice of Allowance (copy enclosed). Therefore, the Notice of Appeal was not necessary and the fees should be refunded.

**IV. MANNER OF REFUND**

Please make refund by crediting Account No. 50-1652.

Respectfully submitted,  


Michael J. Ritter  
Reg. No. 36,653

RITTER, LANG & KAPLAN LLP  
12930 Saratoga Ave., Suite D1  
Saratoga, CA 95070

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**PATENT APPLICATION  
FILING ACKNOWLEDGMENT**

Mailing Date:	June 23, 2004	Express Mail	Na
File No.:	RATLP019	Appn.No.	09/631,318
Inventor(s):	GUPTA, Arun K. et al.		
Title:	OBJECT ORIENTED BASED METHODOLOGY FOR MODELING...		
Atty/Sec:	MJR/mrc		
Transmittal	1 pg	X	
Fee Transmittal	1 pg	X	
Petition for Extension	1 pg	X	
Notice of Appeal	2 pg	X	
Check for \$750.00		X	
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Please stamp the date of receipt of the enclosed documents and return this card to addressee

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UNITED STATES PATENT AND TRADEMARK OFFICE

LANG, & KAPLAN LLP

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[www.uspto.gov](http://www.uspto.gov)

**RECEIVED NOTICE OF ALLOWANCE AND FEE(S) DUE**

26541 7590 06/22/2004

RITTER, LANG & KAPLAN  
12930 SARATOGA AE. SUITE D1  
SARATOGA, CA 95070

RITTER, LANG, & KAPLAN LLP

JUN 25 2004

**DOCKETED**

EXAMINER

CHAVIS, JOHN Q

ART UNIT

PAPER NUMBER

2124

DATE MAILED: 06/22/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/631,318	08/03/2000	Arun K. Gupta	102150-100	8484

TITLE OF INVENTION: OBJECT ORIENTED BASED METHODOLOGY FOR MODELING BUSINESS FUNCTIONALITY FOR ENABLING IMPLEMENTATION IN A WEB BASED ENVIRONMENT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	09/22/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

**HOW TO REPLY TO THIS NOTICE:**

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

Applicant claims SMALL ENTITY status.  
See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER:** Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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SARATOGA CA 95070

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RE: 750.00

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U.S. Patent and Trademark Office

MO: MJR-RATLP019 Extension Fee

3957

RITTER, LANG & KAPLAN LLP  
U.S. Patent and Trademark Office

Extension Fee

6/9/2004

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Approved for use through 8/30/2003. OMB 0651-0031

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/631,318
Filing Date	August 3, 2000
First Named Inventor	Gupta, Arun K.
Group Art Unit	2124
Examiner Name	John Q. Chavis
Attorney Docket Number	RATLP019

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Postcard <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Refund (1 pg) Copy of Return Postcard (1 pg) Copy of Notice of Allowance (1 pg) Copy of Check #3957 (1 pg)
Remarks: The Commissioner is authorized to charge any additional fees to Deposit Account 50-1652. Please make the enclosed of record.		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name

RITTER, LANG & KAPLAN LLP  
Michael J. Ritter,

Reg. No. 36,653

Signature

Date

July 1, 2004

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown:

July 1, 2004

Typed or printed name

Diane Elzingre

Signature

Date

July 1, 2004

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